



#^{RS}
2
8-22-01

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Fouad A. Tobagi
SERIAL NO.: 09/853,816
FILED: May 11, 2001
TITLE: System and Method for Controlling Data Transfer Rates on a Network
EXAMINER: Unknown
GROUP ART UNIT: 2661
ATTY.DKT.NO.: PA1689US

RECEIVED
AUG 21 2001
Technology Center 2600

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is a list of co-pending Patent Applications listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant(s)'s duty of disclosure as defined by 37 C.F.R. § 1.56.



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Fouad A. Tobagi
SERIAL NO.: 09/853,816
FILED: May 11, 2001
TITLE: System and Method for Controlling Data Transfer Rates on a Network
EXAMINER: Unknown
GROUP ART UNIT: 2661
ATTY.DKT.NO.: PA1689US

RECEIVED
AUG 21 2001
Technology Center 2600

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

**List of Co-Pending Patent Applications That May Be Directed
Towards Similar Subject Matter**

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	09/852,464	Ray Milkey	System for Automated and Optimized File Transfers Among Computers over a Network	05/09/2001
Examiner's Signature:				Date



SAU 2661

*Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/853,816	
	Filing Date	May 11, 2001	
	First Named Inventor	Fouad A. Tobagi	
	Group Art Unit	2661	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	48	Attorney Docket Number	PA1689

RECEIVED
AUG 21 2001
Technology Center 2810**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard, Copy of co-pending application
Remarks Total page number does not include postcard and check(s), if applicable.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Carr & Ferrell, LLP Cust. No. 22830
Signature	Wendi R. Schepler
Date	August 13, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 13, 2001			
Typed or printed name	Wendi R. Schepler	Date	August 13, 2001
Signature	Wendi R. Schepler	Date	August 13, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,

Fouad A. Tobagi

Dated: August 13, 2001

By: Wendi R. Schepler
Wendi R. Schepler Reg. No. 43,091
Carr & Ferrell LLP
2225 East Bayshore Road, Suite 200
Palo Alto, CA 94303
TEL: (650) 812-3400
FAX: (650) 812-3444

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date printed below:

Dated: 8/13/01

By: Wendi R. Schepler
Wendi R. Schepler